

In re Application of: Kenneth Lee Lilly
Serial Number: 09/090,508
Filed: 06/04/98
Examiner: K. Bareford
Group Art Unit: 1762
For: METHOD FOR PRODUCING TEXTURED
THERMOPLASTIC FILM

GRAU 1762



INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

SIR:

☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

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☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

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☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 23rd day of April, 1999.

Nora Quadrozzi
Nora Quadrozzi

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch _____, Date of Allowance _____", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Robert E. Walter

Robert E. Walter
Attorney for Applicants
Registration No. 25,245
Telephone No. (413) 448-5459

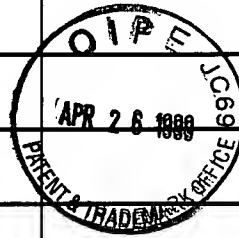
General Electric Company
One Plastics Avenue
Pittsfield, MA 01201

April 23, 1999
REW/nq

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| PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary) | Attorney's Docket Number 08CS05705 | Serial Number 09/090,508 |
| Name of Applicant Kenneth Lee Lilly | | |
| Filing Date 06/04/98 | | Group 1762 |

U.S. PATENT DOCUMENTS

| * Examiner Initials | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|------------------|---------|---------------|-------|----------|----------------------------|
| | AA 5 2 8 1 3 7 1 | 1/25/94 | Tamura et al. | | | |
| | AB 5 6 7 0 2 4 0 | 9/23/97 | Davis | | | |
| | AC 5 4 1 1 7 8 8 | 5/2/95 | Hyde et al. | | | |
| | AD | | | | | |
| | AE | | | | | |
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FOREIGN PATENT DOCUMENTS

| | Document Number | Date | COUNTRY | Class | Subclass | TRANSLATION YES NO |
|--|--|----------|--------------|-------|----------|-----------------------|
| | AM EP 0 369 780 A | 5/23/90 | Europe | | | |
| | AN XP-002094506 & JP 01 176477A (Abstract) | 7/12/89 | | | | |
| | AO NL 8 602 018A & Abstract | 3/1/88 | Netherlands | | | |
| | AP DE 24 25 957 A & Abstract | 12/18/75 | West Germany | | | |

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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| PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary) | Attorney's Docket Number 08CS05705 | Serial Number 09/090,508 |
| Name of Applicant Kenneth Lee Lilly | | |
| Filing Date 06/04/98 | | Group 1762 |

| U.S. PATENT DOCUMENTS | | | | | | | | | |
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| * Examiner Initials | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--|---------|---------|-------|----------|-------------|--|
| | Document Number | Date | COUNTRY | Class | Subclass | TRANSLATION | |
| | AM XP-002094484 & JP 08 132515A (Abstract) | 5/28/96 | | | | YES NO | |
| | AN WO 88 00217 A | 1/14/88 | World | | | | |
| | AO | | | | | | |
| | AP | | | | | | |

| OTHER INFORMATION (Including Author, Title, Date, Pertinent) | |
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